

## Group chain scheme analysis of the energy levels in laser crystals

This article has been downloaded from IOPscience. Please scroll down to see the full text article.

1993 J. Phys.: Condens. Matter 5 6949

(<http://iopscience.iop.org/0953-8984/5/37/012>)

View [the table of contents for this issue](#), or go to the [journal homepage](#) for more

Download details:

IP Address: 171.66.16.96

The article was downloaded on 11/05/2010 at 01:48

Please note that [terms and conditions apply](#).

# Group chain scheme analysis of the energy levels in laser crystals

Luo Zundu<sup>†‡</sup> and Huang Yidong<sup>‡</sup>

<sup>†</sup> China Centre of Advance Science and Technology (World Laboratory), PO Box 8730, Beijing 100080, People's Republic of China

<sup>‡</sup> Fujian Institute of Research on the Structure of Matter, Chinese Academy of Sciences, Fuzhou, Fujian, 350002, People's Republic of China

Received 28 April 1993

**Abstract.** A method for crystal-field energy level analysis was proposed which used the group chain scheme introduced by Butler. The ratios of crystal-field parameter calculated by a simple point charge model was used as a constraint condition, which turned out to be the same or essentially the same as those obtained by a superposition model or other models and the absolute values of these ratios can be seen as a measure of the degree of symmetry distortion of the system. As an example, the situation of  $D_3$  symmetry was studied. By using this fitting technique to obtain values for the parameters, only one minimum was found for each of the cases studied. The eigenfunctions obtained have obvious symmetry properties and the low-symmetry distortion of the system can easily be seen from the ratios  $C_{\mu}^k/C_0^k$ . Therefore, the crystal-field analysis is not only a formalism but also a real physical insight. The crystal field for  $\text{Nd}^{3+}:\text{YAl}_3(\text{BO}_3)_4$  and  $\text{NdAl}_3(\text{BO}_3)_4$  crystals was analysed in detail.

## 1. Introduction

Crystal-field theory has been widely used in energy level analysis of laser crystals doped with rare-earth and transition-metal ions, as well as in various problems in the fields of physics and chemistry nowadays, and a large number of papers have been published [1–9]. Nevertheless, the way in which the least-squares fitting should be carried out unambiguously is still a problem, especially for situations in which the active ions are in low-symmetry sites. Many minima exist which are indistinguishable from each other. All of them can have a small RMS deviation but they do not all correspond to physical reality and it is difficult to determine which solution is the best only by the fitting of energy level data. One way to overcome this difficulty is to introduce a theoretical model and to select the best set which agrees well with the model. A variety of theoretical models have been proposed [10–19] and some of them were used to assess the reliability of the fitting. However, it must be admitted that no special model can represent singly the crystal-field effect because there are so many kinds of mechanism contributing to the crystal-field effect [10]. In fact, the agreement between theoretical calculation results and the data obtained by energy level fitting is still very unsatisfactory. On the other hand, a set of 'crystal-field invariants' has been proposed and it is believed that they can be used to check the final results [20–22]. It is noteworthy that the crystal-field invariant can always be satisfied by the crystal-field parameters obtained by least-squares fitting of the crystal-field energy levels provided that the RMS deviations of the fitting are sufficiently small. Therefore, it must be said that the crystal invariant cannot be used as an independent criterion to check the validity of final

results. It is suggested in this paper that to utilize fully the symmetry distortion degree of the system, which can be seen clearly from the ratios of crystal-field parameters belonging to the same  $k$ -value in the group chain scheme [23] as the constraint condition in the fitting could be a good way to deal with the problem concerned. It turns out that the degree of low-symmetry distortion of the system can be estimated by the simple point-charge (PC) model, or more exactly that the initial values of these ratios can be estimated by the simple PC model. This method was used to study the situations in laser crystals of  $\text{Nd}^{3+}:\text{YAl}_3(\text{BO}_3)_4$  (NYAB) and  $\text{NdAl}_3(\text{BO}_3)_4$  (NAB) in which  $\text{Nd}^{3+}$  ions occupy  $D_3$  symmetry sites.

## 2. Theoretical formulation of the method

Suppose that the active  $\text{Nd}^{3+}$  ions occupy the positions belonging to point group  $G$ ; then the crystal-field Hamiltonian  $H_{cf}$  is invariant under the action of any elements of  $G$ , i.e. it transforms as  $O(G)$  (in Butler's [23] notation). For the situations studied,  $G=D_3$ . Consider the group chain  $\text{SO}_3 \supset O \supset D_3$ ,  $H_{cf}$  can be expressed as

$$H_{cf} = \sum_{k,\mu} C_{\mu O}^k b_{\mu O}^k \quad (1)$$

where  $b_{\mu O}^k$  are the basic functions of the group chain  $\text{SO}_3 \supset O \supset D_3$  and are identical with  $|k\mu O\rangle$  in [23], and  $C_{\mu O}^k$  are the expansion coefficients of  $H_{cf}$  according to these bases. Because all these functions belong to the  $O$  representation, the index  $O$  can be omitted in equation (1); then

$$H_{cf} = \sum_{k,\mu} C_{\mu}^k b_{\mu}^k \quad (2)$$

where  $b_{\mu}^k$  can be found from [23, ch 16], which has been expressed as linear combination of spherical harmonics  $|kq\rangle$ . In order to compare this with the Hamiltonian of traditional crystal-field theory,  $|kq\rangle$  should be expressed as  $C_{kq}$  by

$$|kq\rangle = N_k C_{kq} \quad (3)$$

where  $N_2 = (\frac{3}{2})^{1/2}$ ,  $N_4 = (\frac{1}{2})(\frac{35}{2})^{1/2}$ ,  $N_6 = (\frac{3}{2})(\frac{7}{2})^{1/2}$ . On the other hand,  $H_{cf}$  can be expressed as

$$H_{cf} = \sum_{k,q} B_{kq} C_{kq} \quad (4)$$

In the case of the point group  $D_3$ , the detailed crystal-field Hamiltonian will be

$$H_{cf} = C_1^2 b_1^2 + C_0^4 b_0^4 + C_1^4 b_1^4 + C_0^6 b_0^6 + C_{1_0}^6 b_{1_0}^6 + C_{1_1}^6 b_{1_1}^6 \quad (5)$$

in the group chain scheme. It can also be expressed as

$$H_{cf} = B_{20} C_{20} + B_{40} C_{40} + B_{43} C_{43} + B_{60} C_{60} + B_{63} C_{63} + B_{66} C_{66} \quad (6)$$

where  $B_{43}$  and  $B_{63}$  have been made real by a rotation about the  $Z$  axis. It can be easily shown that the relationship between the crystal-field parameters of two different schemes will be

$$\begin{aligned}
 B_{20} &= -\left(\frac{2}{3}\right)^{1/2} C_1^2 \\
 B_{40} &= 2\left(\frac{2}{35}\right)^{1/2} \left[ \left(-\frac{1}{3}\right) \left(\frac{7}{3}\right)^{1/2} C_0^4 - \left(\frac{2}{3}\right) \left(\frac{5}{3}\right)^{1/2} C_1^4 \right] \\
 B_{43} &= 2\left(\frac{2}{35}\right)^{1/2} \left[ \left(-\frac{1}{3}\right) \left(\frac{10}{3}\right)^{1/2} C_0^4 + \left(\frac{1}{3}\right) \left(\frac{7}{6}\right)^{1/2} C_1^4 \right] \\
 B_{60} &= 4\left(\frac{1}{231}\right)^{1/2} \left[ \left(-\frac{4}{9}\right) (2)^{1/2} C_0^6 + \left(\frac{7}{9}\right) C_{10}^6 \right] \\
 B_{63} &= 4\left(\frac{1}{231}\right)^{1/2} \left[ \left(\frac{1}{9}\right) \left(\frac{35}{3}\right)^{1/2} C_0^6 + \left(\frac{11}{42}\right)^{1/2} C_{10}^6 + \left(\frac{4}{9}\right) \left(\frac{10}{21}\right)^{1/2} C_{11}^6 \right] \\
 B_{66} &= 4\left(\frac{1}{231}\right)^{1/2} \left[ \left(-\frac{1}{9}\right) \left(\frac{77}{6}\right)^{1/2} C_0^6 + \left(\frac{5}{21}\right)^{1/2} C_{10}^6 - \left(\frac{4}{9}\right) \left(\frac{11}{21}\right)^{1/2} C_{11}^6 \right].
 \end{aligned} \tag{7}$$

Conversely

$$\begin{aligned}
 C_1^2 &= -\left(\frac{3}{2}\right)^{1/2} B_{20} \\
 C_0^4 &= \left(\frac{1}{2}\right) \left(\frac{35}{2}\right)^{1/2} \left[ \left(-\frac{1}{9}\right) (21)^{1/2} B_{40} - \left(\frac{2}{9}\right) (30)^{1/2} B_{43} \right] \\
 C_1^4 &= \left(\frac{1}{2}\right) \left(\frac{35}{2}\right)^{1/2} \left[ \left(-\frac{2}{9}\right) (15)^{1/2} B_{40} + \left(\frac{1}{9}\right) (42)^{1/2} B_{43} \right] \\
 C_0^6 &= \left(\frac{1}{4}\right) (231)^{1/2} \left[ \left(-\frac{4}{9}\right) (2)^{1/2} B_{60} + \left(\frac{2}{27}\right) (105)^{1/2} B_{63} - \left(\frac{1}{27}\right) (462)^{1/2} B_{66} \right] \\
 C_{10}^6 &= \left(\frac{1}{4}\right) (231)^{1/2} \left[ \left(\frac{1}{21}\right) (462)^{1/2} B_{63} + 2\left(\frac{5}{21}\right)^{1/2} B_{66} \right] \\
 C_{11}^6 &= \left(\frac{1}{4}\right) (231)^{1/2} \left[ \left(\frac{7}{9}\right) B_{60} + \left(\frac{8}{189}\right) (210)^{1/2} B_{63} - \left(\frac{8}{189}\right) (231)^{1/2} B_{66} \right].
 \end{aligned} \tag{8}$$

Newman and Ng [20] mentioned that the ratios of the phenomenological parameters were very similar to those calculated by a simple PC electrostatic model for the nearest-neighbour ions, and the calculations of Ellis and Newman [24, 25] showed that the ratio  $A_6^6 \langle r^6 \rangle / A_6^0 \langle r^6 \rangle$  calculated for the dominant overlap and covalent contributions in the complex  $(\text{PrCl}_9)^{6-}$  is in fact exactly the same as the electrostatic ratio; its reciprocal was used to determine crystal-field parameters in rare-earth trichlorides [26]. We would like to point out that it is possible to use the superposition model to demonstrate the consistent behaviour of these ratios. According to the superposition model [20], we have the following equations:

$$A_k^q \langle r^k \rangle = \sum_L A_k(R_L) K_{kq}(\theta_L, \phi_L) \tag{9}$$

and

$$A_k(R) = A_k(R_0) (R_0/R)^{t_k} \tag{10}$$

where  $K_{kq}$  is the geometrical factor given in [20, table 2],  $t_k$  is the power-law exponent which depends on the mechanisms involved. In the situations studied (i.e. the  $D_3$  site symmetry), all the  $R_L$ -values are equal; then

$$\frac{A_k^q}{A_k^0} = \sum_L K_{kq'}(\theta_L, \phi_L) / \sum_L K_{kq}(\theta_L, \phi_L). \tag{11}$$

It is independent of the  $t_k$ -value. This means that the ratios  $A_k^q/A_k^q$  (and therefore  $B_{kq}/B_{kq}$ ) calculated by the simple PC mechanism is the same as those obtained by other mechanisms involved in crystal-field interaction. Even some  $R_L$  do not equal each other; the ratios of the crystal-field parameters calculated from the resultant contribution of all the different mechanisms (corresponding to different  $t_k$ -values) will be essentially the same as those calculated from only a single  $t_k$ -value corresponding to the simple PC mechanism. The calculated result for  $\text{Nd}^{3+}:\text{YAG}$  is shown in table 1; the related structure data were taken from [27]. Obviously, the ratios for the composite effect are essentially the same as those due to only simple PC interaction.

**Table 1.** Comparison of the ratios of the crystal-field parameters of  $\text{Nd}^{3+}:\text{YAG}$  obtained by the composite superposition model (contributions from a series of  $t_k$ -values) and by the single superposition model (contributions from  $t_k$ -values corresponding to a simple PC mechanism). The structure data of  $\text{Nd}^{3+}:\text{YAG}$  is taken from [27]. In the calculation using the composite model,  $t_k$ -values from  $t_k = 5$  to  $t_k = 11$  and from  $t_k = 7$  to  $t_k = 13$  were taken into account for  $k = 4$  and  $k = 6$ , respectively.

	$B_{42}/B_{40}$	$B_{44}/B_{40}$	$B_{62}/B_{60}$	$B_{64}/B_{60}$	$B_{66}/B_{60}$
Single	0.19	-0.45	1.96	1.36	-0.14
Composite	0.25	-0.48	1.90	1.35	-0.16

The crystal-field parameter ratios in the traditional crystal field scheme were defined as

$$P_1 = B_{43}/B_{40} \quad P_2 = B_{63}/B_{60} \quad P_3 = B_{66}/B_{60}. \quad (12)$$

The corresponding ratios in the group chain scheme were

$$r_1 = C_1^4/C_0^4 \quad r_2 = C_{10}^6/C_0^6 \quad r_3 = C_{11}^6/C_0^6. \quad (13)$$

Then the relationships between these two sets become

$$\begin{aligned} P_1 &= [(10)^{1/2} - (\frac{7}{2})^{1/2}r_1]/[(7)^{1/2} + 2(5)^{1/2}r_1] \\ P_2 &= [(-\frac{1}{4})(\frac{35}{8})^{1/2} - (\frac{9}{8})(\frac{11}{21})^{1/2}r_2 - (\frac{5}{21})^{1/2}r_3]/[1 - (\frac{7}{4})(\frac{1}{2})^{1/2}r_3] \\ P_3 &= [(\frac{1}{8})(\frac{77}{3})^{1/2} - (\frac{9}{4})(\frac{5}{42})^{1/2}r_2 + (\frac{11}{42})^{1/2}r_3]/[1 - (\frac{7}{4})(\frac{1}{2})^{1/2}r_3] \end{aligned} \quad (14)$$

and

$$\begin{aligned} r_1 &= [(\frac{10}{7})^{1/2} - p_1]/[(\frac{1}{2})^{1/2} + 2(\frac{5}{7})^{1/2}p_1] \\ r_2 &= [(\frac{9}{14})(\frac{22}{5})^{1/2}p_2 + (\frac{9}{7})p_3]/[-(\frac{24}{35})^{1/2} + p_2 - (\frac{11}{10})^{1/2}p_3] \\ r_3 &= [-(\frac{21}{8})(\frac{7}{30})^{1/2} - p_2 + (\frac{11}{10})^{1/2}p_3]/[(\frac{21}{10})(\frac{5}{21})^{1/2} - (\frac{7}{4})(\frac{1}{2})^{1/2}p_2 + (\frac{7}{8})(\frac{11}{5})^{1/2}p_3]. \end{aligned} \quad (15)$$

It is apparent that in the group chain scheme the ratios  $r_1$ ,  $r_2$  and  $r_3$  should all be zero when the site symmetry is cubic and the gradual distortion from cubic symmetry should correspond to a continued increase in  $|r_1|$ -,  $|r_2|$ - and  $|r_3|$ -values irrespective of the kinds of mechanism that contribute to the crystal field. The data published by Faucher and Caro [28] are very interesting and can be used as an example to explain the fact that the ratios calculated by the simple PC model certainly can represent the degree of symmetry distortion.

The corresponding relation between the degree of distortion and the magnitudes of  $r_1$ ,  $r_2$  and  $r_3$  can be seen clearly from the results shown in table 2 which were calculated from the data given in [28]. On the other hand, we use a simple structure model to explain this relation in the case of the  $D_3$  point group. The  $Nd^{3+}$  ion is sited in the middle between two ligand triangles (figure 1); when these two triangles have a relative rotation and deviate from cubic symmetry, the absolute values of  $r_i$  ( $i = 1, 2, 3$ ) will gradually increase. This relation is shown in figure 2. All these analyses and calculations suggest that the better procedure for crystal-field energy level fitting is first to keep the crystal-field parameters ratios the same as those calculated by the simple PC model to reach the minimum and then to adjust the values of these ratios to reduce the RMS deviation further. The eigenfunctions of crystal-field states of each term  $^{2S+1}L_J$  are expressed as a linear combination of Butler's group chain basis functions as listed in the appendix. All the coefficients in these combinations can be obtained by the fitting. Because Butler's basis functions belong to definitive irreducible representations of O and  $D_3$  groups, the symmetry properties of the eigenfunctions obtained are obvious and will facilitate the study of the selection rule of the transitions between them. In the following section we shall use this method to analyse the crystal-field energy levels for the laser crystals of NAB and NYAB.

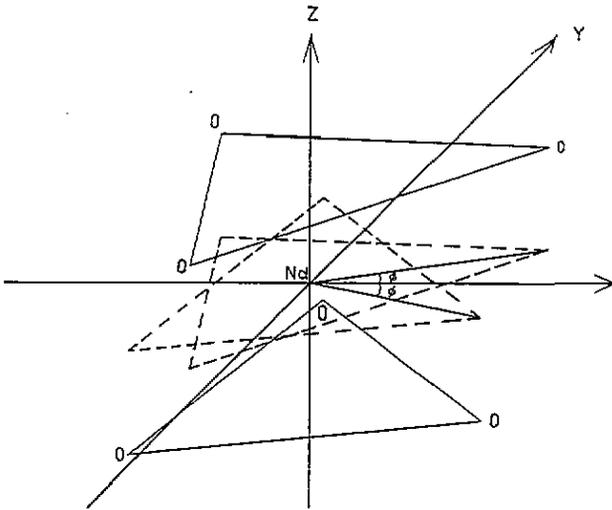


Figure 1. The local structure of  $NdO_6$  and coordinate system for the crystal field analysed in this paper.

Table 2. Variation in the crystal-field parameter ratios of  $Eu^{3+}:LaAlO_3$  in the group chain scheme versus temperature; when the temperature increases to 500 K, the point symmetry of the  $Eu^{3+}$  site progressively approaches  $O_h$  [28].

Temperature (K)	$C_{i_1}^4/C_0^4$	$C_{i_0}^6/C_0^6$	$C_{i_1}^6/C_0^6$
72	0.1452	-0.1072	0.0116
300	0.1041	-0.0670	0.0011
500	0.0345	-0.0334	0.0023

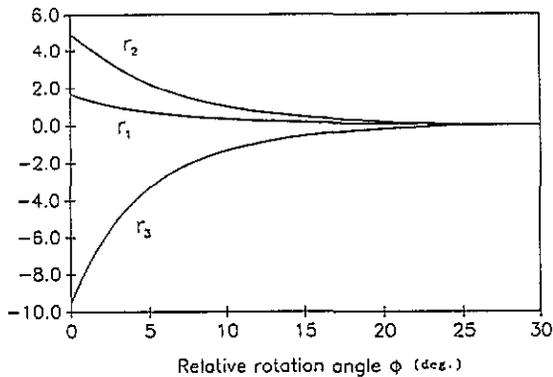


Figure 2. Variation in the calculated crystal-field parameter ratios  $r_i$  ( $i = 1, 2, 3$ ) with relative rotation angle between the two ligand triangles, when the  $\text{Nd}^{3+}$  point symmetry changes from  $O \rightarrow D_3 \rightarrow D_{3h}$  corresponding to the relative rotation angle  $\phi$  decreasing from  $30^\circ \rightarrow 0^\circ$ .

### 3. Crystal-field energy level analysis of the $\text{NdAl}_3(\text{BO}_3)_4$ and $\text{Nd}^{3+}:\text{YAl}_3(\text{BO}_3)_4$ crystals

The NAB crystal is a high-neodymium-concentration laser crystal with a low threshold, high gain and good physical and chemical properties [29], and the NYAB crystal has been developed as an ideal self-frequency-doubling laser crystal which can generate its fundamental laser light into second harmonics efficiently by itself [30]. Both NAB and NYAB crystals have structures belonging to the space group  $R_{32}$  [31, 32]. The structure concerned is composed of two sets of isolated  $\text{BO}_3$  triangles—one perpendicular and the other nearly so to the C axis. The  $\text{Nd}^{3+}$  ions in the NAB and NYAB crystals occupy the centres of the triangle prisms formed by two oxygen triangles which have relative rotation angles of  $15.352^\circ$  and  $17.047^\circ$ , respectively (see figure 1); each oxygen belongs to one  $\text{BO}_3$  group. Other structural data are shown in table 3. The fluorescent spectra of these two crystals have been measured by other workers [33, 34] as well as by ourselves. The energy levels of the  ${}^4F_{3/2}$ ,  ${}^4I_{3/2}$ ,  ${}^4I_{11/2}$  and  ${}^4I_{9/2}$  terms were assigned according to these data and are given in tables 4–6. The matrix elements of the crystal-field Hamiltonian in the group chain scheme were calculated by means of the Wigner–Eckart theorem and the factorization lemma of the  $3jm$  factors, and the results are listed in the appendix. As usually adopted in this field, the intermediate coupling has been taken into account using the reduced matrix elements  $\langle f^n SLJ || U_k || f^n SL' J' \rangle$  in the intermediate-coupling approximation [35]; in other words, the  ${}^{2S+1}L_J$  states used here are not the pure  $LS$  states but the intermediate-coupling states. Before the fitting, a PC calculation of the ratios of  $B_{kq}$  have been performed and the corresponding ratios in the group chain scheme were calculated from equations (12) and (15); the results obtained are shown in table 7, and the superposition PC model ratios were directly calculated from equation (11) and transformed to the  $B_{kq}$  ratios using equation (2.9) and [20, table 1]. In our calculation, by using these ratios as constraint conditions in the fitting, only one minimum was obtained, irrespective of the initial values adopted. Further work on the fitting requires adjustment of the ratios to minimize the RMS deviation of the energy levels. In table 7, comparisons of the initial and final ratios are given and the corresponding crystal-field parameters  $B_{kq}$  and  $C_\mu^k$  are shown in tables 8 and 9. Finally, the experimental and calculated energy levels are compared in tables 4–6. Comparing the results obtained in this paper with those previously published by us [36, 37], obviously, one can see that the RMS deviations were decreased by the new method, although the

amount of calculation work is reduced. If the contribution of the two-electron crystal-field interaction is included, the agreement between the experimental energy levels and the calculated eigenvalues can be improved considerably. As pointed out by Judd [38], a phenomenological method to handle this contribution is to replace the matrix element  $\langle f^n SL \| U_k \| f^n SL' \rangle$  by  $\langle f^n SL \| U_k \| f^n SL' \rangle + C_k [S(S+1)/(2S+1)]^{1/2} \langle f^n SL \| V_k \| f^n SL' \rangle$ ;  $C_k$  ( $k = 2, 4, 6$ ) are three new parameters. Nevertheless, in doing this, the ratios of the crystal-field parameters  $C_\mu^k / C_0^k$  ( $B_{kq} / B_{k0}$ ) should not be changed, because the additional factor introduced is independent of the irreducible representation index  $\mu$  (or  $q$ ). Therefore, the method adopted in this paper is still valid.

**Table 3.** The  $O^{2-}$  coordinate data for the local  $NdO_6$  structure in NAB and NYAB crystals, expressed as  $(R, \theta, \phi)$ . The sites of O( $i$ ) ( $i = 1, 2, 3, 4, 5, 6$ ) and the coordinate system are shown in figure 1.

	NAB	NYAB
O(1)	(2.3717, 56.32°, 7.68°)	(2.3215, 54.47°, 8.52°)
O(2)	(2.3717, 56.32°, 127.68°)	(2.3215, 54.47°, 128.52°)
O(3)	(2.3717, 56.32°, 247.68°)	(2.3215, 54.47°, 247.52°)
O(4)	(2.3717, 123.68°, -7.68°)	(2.3215, 125.53°, -8.52°)
O(5)	(2.3717, 123.68°, 112.32°)	(2.3215, 125.53°, 111.48°)
O(6)	(2.3717, 123.68°, 232.32°)	(2.3215, 125.53°, 231.48°)

**Table 4.** Comparison of the observed and calculated Stark splittings from the centre of gravity of the  ${}^4F_{3/2}$ ,  ${}^4I_{11/2}$  and  ${}^4I_{9/2}$  manifolds of  $Nd^{3+}$  in the NAB crystal at 77 K.

Multiplet	Observed splitting ( $cm^{-1}$ )	Calculated splitting ( $cm^{-1}$ )	$\Delta$ ( $cm^{-1}$ )
${}^4F_{3/2}$	33.5	47.7	14.2
	-33.5	-47.7	-14.2
${}^4I_{11/2}$	104.3	91.1	-13.2
	81.3	46.9	-34.4
	-26.7	-6.2	20.5
	-35.7	-15.3	20.4
	-54.7	-47.8	6.9
	-68.7	-68.7	0.0
${}^4I_{9/2}$	155.4	162.3	6.9
	106.4	89.2	-17.2
	-21.6	3.1	24.7
	-110.6	-110.9	-0.3
	-129.6	-143.8	-14.2
RMS			23.3

#### 4. Conclusion

The crystal-field energy level fitting has been performed for two  $Nd^{3+}$ -doped systems; both have  $D_3$  point-group symmetry. A constant ratio of crystal-field parameters was used



Table 5. Comparison of the observed and calculated Stark splittings from the centre of gravity of the  ${}^4F_{3/2}$ ,  ${}^4I_{13/2}$ ,  ${}^4I_{11/2}$  and  ${}^4I_{9/2}$  manifolds of  $\text{Nd}^{3+}$  in NYAB at 77 K.

Multiplet	Observed splitting ( $\text{cm}^{-1}$ )	Calculated splitting ( $\text{cm}^{-1}$ )	$\Delta$ ( $\text{cm}^{-1}$ )
${}^4F_{3/2}$	24.5	17.3	-7.2
	-24.5	-17.3	7.2
${}^4I_{13/2}$	105.9	123.6	17.7
	94.9	88.7	-6.2
	72.9	64.7	-8.2
	-47.1	-34.1	13.0
	-59.1	-51.9	7.2
	-78.1	-68.1	10.0
	-89.1	-122.8	-33.7
${}^4I_{11/2}$	106.3	89.4	-16.9
	69.3	56.9	-12.4
	58.3	51.8	-6.5
	-63.7	-45.6	18.1
	-77.7	-55.2	22.5
	-92.7	-97.3	-4.6
${}^4I_{9/2}$	141.8	170.3	28.5
	141.8	110.8	-31.0
	-24.2	-17.2	7.0
	-77.2	-96.0	-18.8
	-182.2	-167.9	14.3
RMS			20.2

as the constraint conditions in the fitting. It should be emphasized that, without these constraints, different sets of initial values always result in quite different minima; some of them cannot even reach any minimum but, if the fitting is under the constraints, all the different sets of initial values will result in exactly the same minimum. In the group chain scheme, it can be seen that the constraint conditions of essentially constant ratios of crystal-field parameters is actually a condition of the degree of low-symmetry distortion so that, for different mechanisms of crystal-field interactions, these ratios are essentially the same. The validity of using this condition is due to the importance of symmetry information in determining the physical effect and the fact that the symmetry rule is a universal rule which should be obeyed by every kind of physical process. In the current literature on crystal-field theory, the properties of the site symmetry of active ions has been used to determine which terms should be presented in the Hamiltonian  $H_{cf}$ , but the symmetry information has not been further utilized. However, for systems with the same kind of point group, their low-symmetry distortions may be different. It is just the degree of low-symmetry distortion which determines the relative magnitudes of the crystal-field parameters. The superposition model was applied to show that the ratios of crystal-field parameters obtained by the simple PC effect are the same or essentially the same as those determined from the composite effect consisting of a series of  $t_k$ -values; this gives us confidence to use the ratios of the crystal-field parameters obtained by the simple PC model as constraint conditions in the fitting.

In the detailed results of NYAB and NAB crystal-field energy level analysis, three points should be mentioned. Firstly, it should be noted that the Stark splitting of NYAB at room

**Table 6.** Comparison of the observed and calculated Stark splittings from the centre of gravity of the  ${}^4F_{3/2}$ ,  ${}^4I_{13/2}$ ,  ${}^4I_{11/2}$  and  ${}^4I_{9/2}$  manifolds of  $\text{Nd}^{3+}$  in the NYAB crystal at 300 K.

Multiplet	Observed splitting ( $\text{cm}^{-1}$ )	Calculated splitting ( $\text{cm}^{-1}$ )	$\Delta$ ( $\text{cm}^{-1}$ )
${}^4F_{3/2}$	21.0	17.8	-3.2
	-21.0	-17.8	3.2
${}^4I_{13/2}$	115.6	136.6	21.0
	100.6	96.4	-4.2
	70.6	73.0	2.4
	-49.4	-40.3	9.1
	-71.4	-61.3	10.1
	-77.4	-73.0	4.4
	-88.4	-131.4	-43.0
${}^4I_{11/2}$	105.3	97.6	-7.7
	64.3	62.9	-1.4
	58.3	57.6	-0.7
	-49.7	-53.7	-4.0
	-79.7	-60.3	19.4
	-98.7	-104.2	-5.5
${}^4I_{9/2}$	164.6	184.0	19.4
	164.6	127.6	-37.0
	-8.4	-17.3	-8.9
	-117.4	-115.1	2.3
	-203.4	-179.2	24.2
RMS			19.7

**Table 7.** The parameters  $p_i$  and  $r_i$  ( $i = 1, 2, 3$ ) in NAB and NYAB crystals.

		$p_1$	$p_2$	$p_3$	$r_1$	$r_2$	$r_3$
NAB	Calculated	0.507	-0.117	-0.413	0.440	1.348	-2.506
	Experimental (77 K)	0.546	-0.067	-0.389	0.399	1.212	-2.667
NYAB	Calculated	0.509	-0.289	-0.404	0.437	1.307	-1.634
	Experimental (77 K)	0.570	-0.352	-0.408	0.374	1.327	-1.443
	Experimental (300 K)	0.574	-0.428	-0.429	0.370	1.398	-1.292

**Table 8.** Crystal-field parameters  $B_{kq}$  for NAB and NYAB crystals.

	$B_{20}$	$B_{40}$	$B_{43}$	$B_{60}$	$B_{63}$	$B_{66}$
NAB	513.7	-217.4	-118.6	257.9	-17.4	-100.3
NYAB (77 K)	-186.2	623.5	355.5	-210.1	73.9	85.6
NYAB (300 K)	-191.9	681.1	391.1	-222.3	95.1	95.2

temperature is larger than at 77 K; this is contrary to the situation in the  $\text{Eu}^{3+}:\text{LaAlO}_3$  crystal. Secondly, the absolute values of  $r_1$ ,  $r_2$  and  $r_3$  for the NYAB crystal are smaller than those of the NAB crystal (table 7); it demonstrates that the distortion from cubic symmetry in the NYAB crystal is lower than in NAB crystal, which agrees well with the structure information obtained from x-ray analysis [31, 32]. Finally, the eigenfunctions for the crystal-

Table 9. Crystal-field parameters  $C_{\mu}^k$  for NAB and NYAB crystals.

	$C_1^2$	$C_0^4$	$C_1^4$	$C_0^6$	$C_{10}^6$	$C_{11}^6$
NAB (77 K)	-629	534	213	-363	-439	967
NYAB (77 K)	228	-1569	-587	456	605	-658
NYAB (300 K)	235	-1721	-637	517	723	-668

field states of the  ${}^4F_{3/2}$  and  ${}^4I_{11/2}$  terms in the NAB crystal obtained by our method can be employed to explain the laser polarization direction of the NAB minilaser used by Winzer *et al* [33]. Otherwise, the eigenfunctions obtained by us without the PC-modelled crystal-field parameter ratio constraints give the incorrect answer for the polarization behaviour, although these minima all have the same small RMS deviations. Therefore, it is obvious that the method of essential constant ratios of the crystal-field parameters is a good way to reach the global minimum, although not as many energy levels have been calculated in this paper as in many other papers; at the same time, the two-electron effect and  $J$  mixing effect were neglected. The group chain scheme of the crystal-field theory adopted has a series of advantages such as the fact that the symmetry properties of the irreducible subspaces and eigenstates can be obtained directly using simple group theory. On the other hand, the most important advantage is that the crystal-field parameter ratios  $C_{\mu}^k/C_0^k$  obtained can be seen directly to correspond to the degree of low-symmetry distortion departure from cubic symmetry. After information on the low-symmetry distortion has been taken into account, the crystal-field calculations are not only a formalism but also a real physical insight.

Finally, we would like to point out that this method can also be applied to other kinds of point group, especially the lower-symmetry cases such as the  $D_2$ ,  $C_5$  and  $C_2$  point groups. In the situations of transition ions, this method can also be used.

### Acknowledgment

This project was supported by National Natural Science Foundation of China.

### Appendix

The irreducible representations of group O and  $D_3$  considered in this paper are labelled using the Butler [23] notation. The correspondence between the labels used by Butler, Bethe and Mulliken is as follows:

	Mulliken:	$A_1$	$A_2$	E	$T_1$	$T_2$	$E'$	$E''$	$U'$
O	Bethe:	$\Gamma_1$	$\Gamma_2$	$\Gamma_3$	$\Gamma_4$	$\Gamma_5$	$\Gamma_6$	$\Gamma_7$	$\Gamma_8$
	Butler:	0	$\bar{0}$	2	1	$\bar{1}$	$\frac{1}{2}$	$\frac{\bar{1}}{2}$	$\frac{3}{2}$
	Mulliken:	$A_1$	$A_2$	E	$E'$	$E''$			
$D_3$	Bethe:	$\Gamma_1$	$\Gamma_2$	$\Gamma_3$	$\Gamma_4$	$\Gamma_5$	$\Gamma_6$		
	Butler:	0	$\bar{0}$	1	$\frac{1}{2}$	$\frac{3}{2}$	$-\frac{3}{2}$		

On the basis of the group-subgroup chain  $SO_3 \supset O \supset D_3$ , some of the wavefunctions of the  $4f^3$  configuration in  $Nd^{3+}$  at the  $D_3$  symmetry position are expressed as linear

combinations of Butler's group chain basic function  $|^{2S+1}L_J\mu\nu\rangle$ , where  $\mu$  and  $\nu$  are the irreducible representations of O and  $D_3$  respectively:

$$\begin{aligned}
 |^4F_{3/2}\rangle &\rightarrow |^4F_{3/2\frac{3}{2}\frac{1}{2}}\rangle + |^4F_{3/2\frac{3}{2}\frac{3}{2}}\rangle + |^4F_{3/2\frac{3}{2}-\frac{3}{2}}\rangle \\
 |^4I_{13/2}\rangle &\rightarrow |^4I_{13/2\frac{1}{2}\frac{1}{2}}\rangle + |^4I_{13/2\frac{3}{2_0}\frac{1}{2}}\rangle + |^4I_{13/2\frac{3}{2_0}\frac{3}{2}}\rangle + |^4I_{13/2\frac{3}{2_0}-\frac{3}{2}}\rangle + |^4I_{13/2\frac{3}{2_1}\frac{1}{2}}\rangle \\
 &\quad + |^4I_{13/2\frac{3}{2_1}\frac{3}{2}}\rangle + |^4I_{13/2\frac{3}{2_1}-\frac{3}{2}}\rangle + |^4I_{13/2\frac{1}{2_0}\frac{1}{2}}\rangle + |^4I_{13/2\frac{1}{2_1}\frac{1}{2}}\rangle \\
 |^4I_{11/2}\rangle &\rightarrow |^4I_{11/2\frac{1}{2}\frac{1}{2}}\rangle + |^4I_{11/2\frac{3}{2_0}\frac{1}{2}}\rangle + |^4I_{11/2\frac{3}{2_0}\frac{3}{2}}\rangle + |^4I_{11/2\frac{3}{2_0}-\frac{3}{2}}\rangle + |^4I_{11/2\frac{3}{2_1}\frac{1}{2}}\rangle \\
 &\quad + |^4I_{11/2\frac{3}{2_1}\frac{3}{2}}\rangle + |^4I_{11/2\frac{3}{2_1}-\frac{3}{2}}\rangle + |^4I_{11/2\frac{1}{2}\frac{1}{2}}\rangle \\
 |^4I_{9/2}\rangle &\rightarrow |^4I_{9/2\frac{1}{2}\frac{1}{2}}\rangle + |^4I_{9/2\frac{3}{2_0}\frac{1}{2}}\rangle + |^4I_{9/2\frac{3}{2_0}\frac{3}{2}}\rangle + |^4I_{9/2\frac{3}{2_0}-\frac{3}{2}}\rangle + |^4I_{9/2\frac{3}{2_1}\frac{1}{2}}\rangle \\
 &\quad + |^4I_{9/2\frac{3}{2_1}\frac{3}{2}}\rangle + |^4I_{9/2\frac{3}{2_1}-\frac{3}{2}}\rangle.
 \end{aligned}$$

By means of the Wigner-Eckart theorem, we can obtain the matrix elements of the crystal-field Hamiltonian in the  $D_3$  point-group symmetry. All the matrix elements listed below are represented as  $\langle\mu\nu|H_{cf}|\mu'\nu'\rangle$  simply.

$J = \frac{3}{2}$ :

	$ \frac{3}{2}\frac{1}{2}\rangle$	$ \frac{3}{2}\frac{3}{2}\rangle$	$ \frac{3}{2}-\frac{3}{2}\rangle$
$\langle\frac{3}{2}\frac{1}{2} $	$H_{11}$	0	0
$\langle\frac{3}{2}\frac{3}{2} $	0	$-H_{11}$	0
$\langle\frac{3}{2}-\frac{3}{2} $	0	0	$-H_{11}$

$H_{11} = (\frac{1}{2})(\frac{1}{3})^{1/2}C_2U^{(2)}C_1^2$

$J = \frac{13}{2}$ :

	$ \frac{1}{2}\frac{1}{2}\rangle$	$ \frac{3}{2_0}\frac{1}{2}\rangle$	$ \frac{3}{2_1}\frac{1}{2}\rangle$	$ \frac{1}{2_0}\frac{1}{2}\rangle$	$ \frac{1}{2_1}\frac{1}{2}\rangle$	$ \frac{3}{2_0}\frac{3}{2}\rangle$	$ \frac{3}{2_1}\frac{3}{2}\rangle$	$ \frac{3}{2_0}-\frac{3}{2}\rangle$	$ \frac{3}{2_1}-\frac{3}{2}\rangle$
$\langle\frac{1}{2}\frac{1}{2} $	$H_{11}$	$H_{12}$	$H_{13}$	$H_{14}$	$H_{15}$				
$\langle\frac{3}{2_0}\frac{1}{2} $	$H_{12}$	$H_{22}$	$H_{23}$	$H_{24}$	$H_{25}$				
$\langle\frac{3}{2_1}\frac{1}{2} $	$H_{13}$	$H_{23}$	$H_{33}$	$H_{34}$	$H_{35}$				
$\langle\frac{1}{2_0}\frac{1}{2} $	$H_{14}$	$H_{24}$	$H_{34}$	$H_{44}$	$H_{45}$				
$\langle\frac{1}{2_1}\frac{1}{2} $	$H_{15}$	$H_{25}$	$H_{35}$	$H_{45}$	$H_{55}$				
$\langle\frac{3}{2_0}\frac{3}{2} $						$H_{66}$	$H_{67}$		
$\langle\frac{3}{2_1}\frac{3}{2} $						$H_{67}^*$	$H_{77}$		
$\langle\frac{3}{2_0}-\frac{3}{2} $								$H_{65}$	$H_{57}^*$
$\langle\frac{3}{2_1}-\frac{3}{2} $								$H_{67}$	$H_{77}$

$H_{11} = -(\frac{9}{2})(\frac{3}{4862})^{1/2}C_4U^{(4)}C_0^4 - 4(\frac{5}{646646})^{1/2}C_6U^{(6)}C_0^6$

$H_{12} = -(\frac{3}{14})(\frac{11}{65})^{1/2}C_2U^{(2)}C_1^2 - (\frac{1}{28})(\frac{85}{39})^{1/2}C_4U^{(4)}C_1^4 + 2(\frac{11}{8398})^{1/2}C_6U^{(6)}C_{1_0}^6$   
 $- 4(\frac{1}{41990})^{1/2}C_6U^{(6)}C_{1_1}^6$

$H_{13} = (\frac{11}{7})(\frac{1}{390})^{1/2}C_2U^{(2)}C_1^2 - (\frac{1}{14})(\frac{5}{4862})^{1/2}C_4U^{(4)}C_1^4 - (\frac{1}{2})(\frac{1}{12597})^{1/2}C_6U^{(6)}C_{1_0}^6$

$$-4\left(\frac{17}{40755}\right)^{1/2}C_6U^{(6)}C_{11}^6$$

$$H_{14} = \left(\frac{1}{14}\right)\left(\frac{33}{78}\right)^{1/2}C_2U^{(2)}C_1^2 + \left(\frac{1}{7}\right)\left(\frac{17}{78}\right)^{1/2}C_4U^{(4)}C_1^4 + \left(\frac{1}{2}\right)\left(\frac{1}{4199}\right)^{1/2}C_6U^{(6)}C_{11}^6$$

$$H_{15} = -\left(\frac{2}{7}\right)\left(\frac{1}{15}\right)^{1/2}C_2U^{(2)}C_1^2 + \left(\frac{2}{7}\right)\left(\frac{5}{187}\right)^{1/2}C_4U^{(4)}C_1^4 + \left(\frac{1}{1938}\right)^{1/2}C_6U^{(6)}C_{10}^6 \\ + 16\left(\frac{1}{106590}\right)^{1/2}C_6U^{(6)}C_{11}^6$$

$$H_{22} = -\left(\frac{4}{7}\right)\left(\frac{1}{910}\right)^{1/2}C_2U^{(2)}C_1^2 + \left(\frac{1}{7}\right)\left(\frac{33}{442}\right)^{1/2}C_4U^{(4)}C_0^4 - \left(\frac{2}{7}\right)\left(\frac{110}{4641}\right)^{1/2}C_4U^{(4)}C_1^4 \\ - 3\left(\frac{22}{146965}\right)^{1/2}C_6U^{(6)}C_0^6 - \left(\frac{66}{7}\right)\left(\frac{1}{29393}\right)^{1/2}C_6U^{(6)}C_{10}^6 + \left(\frac{61}{14}\right)\left(\frac{11}{146965}\right)^{1/2}C_6U^{(6)}C_{11}^6$$

$$H_{23} = \left(\frac{1}{14}\right)\left(\frac{55}{273}\right)^{1/2}C_2U^{(2)}C_1^2 + \left(\frac{55}{42}\right)\left(\frac{1}{221}\right)^{1/2}C_4U^{(4)}C_0^4 + \left(\frac{1}{7}\right)\left(\frac{5}{1547}\right)^{1/2}C_4U^{(4)}C_1^4 \\ + \left(\frac{11}{2}\right)\left(\frac{3}{146965}\right)^{1/2}C_6U^{(6)}C_0^6 - \left(\frac{5}{14}\right)\left(\frac{22}{88179}\right)^{1/2}C_6U^{(6)}C_{10}^6 \\ - \left(\frac{71}{7}\right)\left(\frac{2}{440895}\right)^{1/2}C_6U^{(6)}C_{11}^6$$

$$H_{24} = \left(\frac{9}{14}\right)\left(\frac{1}{91}\right)^{1/2}C_2U^{(2)}C_1^2 + \left(\frac{1}{28}\right)\left(\frac{143}{357}\right)^{1/2}C_4U^{(4)}C_1^4 + \left(\frac{44}{7}\right)\left(\frac{5}{58786}\right)^{1/2}C_6U^{(6)}C_{10}^6 \\ - \left(\frac{4}{7}\right)\left(\frac{11}{58786}\right)^{1/2}C_6U^{(6)}C_{11}^6$$

$$H_{25} = -\left(\frac{2}{7}\right)\left(\frac{11}{210}\right)^{1/2}C_2U^{(2)}C_1^2 - \left(\frac{1}{21}\right)\left(\frac{5}{238}\right)^{1/2}C_4U^{(4)}C_1^4 - \left(\frac{11}{14}\right)\left(\frac{11}{6783}\right)^{1/2}C_6U^{(6)}C_{10}^6 \\ - \left(\frac{4}{7}\right)\left(\frac{17}{1995}\right)^{1/2}C_6U^{(6)}C_{11}^6$$

$$H_{33} = -\left(\frac{27}{14}\right)\left(\frac{1}{910}\right)^{1/2}C_2U^{(2)}C_1^2 - \left(\frac{37}{42}\right)\left(\frac{1}{14586}\right)^{1/2}C_4U^{(4)}C_0^4 - \left(\frac{29}{21}\right)\left(\frac{5}{102102}\right)^{1/2}C_4U^{(4)}C_1^4 \\ + 41\left(\frac{2}{1616615}\right)^{1/2}C_6U^{(6)}C_0^6 + \left(\frac{66}{7}\right)\left(\frac{1}{29393}\right)^{1/2}C_6U^{(6)}C_{10}^6 - \left(\frac{5}{14}\right)\left(\frac{95}{17017}\right)^{1/2}C_6U^{(6)}C_{11}^6$$

$$H_{34} = -\left(\frac{3}{7}\right)\left(\frac{11}{546}\right)^{1/2}C_2U^{(2)}C_1^2 - \left(\frac{113}{42}\right)\left(\frac{1}{3094}\right)^{1/2}C_4U^{(4)}C_1^4 - \left(\frac{27}{14}\right)\left(\frac{55}{88179}\right)^{1/2}C_6U^{(6)}C_{10}^6 \\ - \left(\frac{60}{7}\right)\left(\frac{1}{88179}\right)^{1/2}C_6U^{(6)}C_{11}^6$$

$$H_{35} = -\left(\frac{3}{7}\right)\left(\frac{1}{35}\right)^{1/2}C_2U^{(2)}C_1^2 + \left(\frac{89}{42}\right)\left(\frac{5}{3927}\right)^{1/2}C_4U^{(4)}C_1^4 - \left(\frac{6}{7}\right)\left(\frac{1}{4522}\right)^{1/2}C_6U^{(6)}C_{10}^6 \\ - \left(\frac{2}{7}\right)\left(\frac{95}{2618}\right)^{1/2}C_6U^{(6)}C_{11}^6$$

$$H_{44} = \left(\frac{3}{14}\right)\left(\frac{33}{442}\right)^{1/2}C_4U^{(4)}C_0^4 - 4\left(\frac{55}{58786}\right)^{1/2}C_6U^{(6)}C_0^6$$

$$H_{45} = \left(\frac{2}{21}\right)\left(\frac{5}{17}\right)^{1/2}C_4U^{(4)}C_0^4 + \left(\frac{1}{2}\right)\left(\frac{3}{2261}\right)^{1/2}C_6U^{(6)}C_0^6$$

$$H_{55} = -\left(\frac{2}{21}\right)\left(\frac{13}{1122}\right)^{1/2}C_4U^{(4)}C_0^4 + 16\left(\frac{13}{248710}\right)^{1/2}C_6U^{(6)}C_0^6$$

$$H_{66} = \left(\frac{2}{7}\right)\left(\frac{2}{455}\right)^{1/2}C_2U^{(2)}C_1^2 + \left(\frac{1}{14}\right)\left(\frac{66}{221}\right)^{1/2}C_4U^{(4)}C_0^4 + \left(\frac{2}{7}\right)\left(\frac{110}{4641}\right)^{1/2}C_4U^{(4)}C_1^4 \\ - 3\left(\frac{22}{146965}\right)^{1/2}C_6U^{(6)}C_0^6 + \left(\frac{66}{7}\right)\left(\frac{1}{29393}\right)^{1/2}C_6U^{(6)}C_{10}^6 - \left(\frac{61}{14}\right)\left(\frac{11}{146965}\right)^{1/2}C_6U^{(6)}C_{11}^6$$

$$H_{67} = -\left(\frac{1}{14}\right)\left(\frac{55}{273}\right)^{1/2}C_2U^{(2)}C_1^2 + \left(\frac{55}{42}\right)\left(\frac{1}{221}\right)^{1/2}C_4U^{(4)}C_0^4 - \left(\frac{1}{7}\right)\left(\frac{5}{1547}\right)^{1/2}C_4U^{(4)}C_1^4 \\ + \left(\frac{11}{2}\right)\left(\frac{3}{146965}\right)^{1/2}C_6U^{(6)}C_0^6 + \left(\frac{5}{14}\right)\left(\frac{22}{88179}\right)^{1/2}C_6U^{(6)}C_{10}^6$$

$$+ \left(\frac{71}{7}\right)\left(\frac{2}{440895}\right)^{1/2} C_6 U^{(6)} C_{i_1}^6 + i[-\left(\frac{11}{2730}\right)^{1/2} C_2 U^{(2)} C_i^2 + \left(\frac{1}{6}\right)\left(\frac{65}{238}\right)^{1/2} C_4 U^{(4)} C_i^4 - 2\left(\frac{11}{88179}\right)^{1/2} C_6 U^{(6)} C_{i_0}^6 + 2\left(\frac{1}{440895}\right)^{1/2} C_6 U^{(6)} C_{i_1}^6]$$

$$H_{77} = \left(\frac{27}{14}\right)\left(\frac{1}{910}\right)^{1/2} C_2 U^{(2)} C_i^2 - \left(\frac{37}{42}\right)\left(\frac{1}{14586}\right)^{1/2} C_4 U^{(4)} C_0^4 + \left(\frac{29}{42}\right)\left(\frac{10}{51051}\right)^{1/2} C_4 U^{(4)} C_i^4 + 4i\left(\frac{2}{1616615}\right)^{1/2} C_6 U^{(6)} C_0^6 - \left(\frac{66}{7}\right)\left(\frac{1}{29393}\right)^{1/2} C_6 U^{(6)} C_{i_0}^6 + \left(\frac{5}{14}\right)\left(\frac{95}{17017}\right)^{1/2} C_6 U^{(6)} C_{i_1}^6$$

$$J = \frac{11}{2}:$$

	$ \frac{1}{2} \frac{1}{2}\rangle$	$ \frac{3}{20} \frac{1}{2}\rangle$	$ \frac{3}{21} \frac{1}{2}\rangle$	$ \frac{1}{2} \frac{1}{2}\rangle$	$ \frac{3}{20} \frac{3}{2}\rangle$	$ \frac{3}{21} \frac{3}{2}\rangle$	$ \frac{3}{20} - \frac{3}{2}\rangle$	$ \frac{3}{21} - \frac{3}{2}\rangle$
$\langle \frac{1}{2} \frac{1}{2}  $	$H_{11}$	$H_{12}$	$H_{13}$	$H_{14}$				
$\langle \frac{3}{20} \frac{1}{2}  $	$H_{12}$	$H_{22}$	$H_{23}$	$H_{24}$				
$\langle \frac{3}{21} \frac{1}{2}  $	$H_{13}$	$H_{23}$	$H_{33}$	$H_{34}$				
$\langle \frac{1}{2} \frac{1}{2}  $	$H_{14}$	$H_{24}$	$H_{34}$	$H_{44}$				
$\langle \frac{3}{20} \frac{3}{2}  $					$H_{55}$	$H_{56}$		
$\langle \frac{3}{21} \frac{3}{2}  $					$H_{56}^*$	$H_{66}$		
$\langle \frac{3}{20} - \frac{3}{2}  $							$H_{55}$	$H_{56}^*$
$\langle \frac{3}{21} - \frac{3}{2}  $							$H_{56}$	$H_{66}$

$$H_{11} = -\left(\frac{7}{2}\right)\left(\frac{1}{858}\right)^{1/2} C_4 U^{(4)} C_0^4 - \left(\frac{1}{7293}\right)^{1/2} C_6 U^{(6)} C_0^6$$

$$H_{12} = -\left(\frac{4}{11}\right)\left(\frac{5}{39}\right)^{1/2} C_2 U^{(2)} C_i^2 - \left(\frac{3}{22}\right)\left(\frac{5}{78}\right)^{1/2} C_4 U^{(4)} C_i^4 + \left(\frac{55}{9282}\right)^{1/2} C_6 U^{(6)} C_{i_0}^6 + \left(\frac{59}{11}\right)\left(\frac{1}{9282}\right)^{1/2} C_6 U^{(6)} C_{i_1}^6$$

$$H_{13} = -\left(\frac{4}{11}\right)\left(\frac{1}{78}\right)^{1/2} C_2 U^{(2)} C_i^2 + \left(\frac{17}{33}\right)\left(\frac{1}{39}\right)^{1/2} C_4 U^{(4)} C_i^4 - \left(\frac{3}{4}\right)\left(\frac{11}{4641}\right)^{1/2} C_6 U^{(6)} C_{i_0}^6 + \left(\frac{76}{33}\right)\left(\frac{5}{4641}\right)^{1/2} C_6 U^{(6)} C_{i_1}^6$$

$$H_{14} = \left(\frac{1}{2}\right)\left(\frac{1}{39}\right)^{1/2} C_2 U^{(2)} C_i^2 + \left(\frac{1}{3}\right)\left(\frac{1}{78}\right)^{1/2} C_4 U^{(4)} C_i^4 + \left(\frac{1}{6}\right)\left(\frac{35}{1326}\right)^{1/2} C_6 U^{(6)} C_{i_1}^6$$

$$H_{22} = -\left(\frac{41}{22}\right)\left(\frac{5}{3003}\right)^{1/2} C_2 U^{(2)} C_i^2 - \left(\frac{47}{22}\right)\left(\frac{1}{858}\right)^{1/2} C_4 U^{(4)} C_0^4 - \left(\frac{9}{22}\right)\left(\frac{10}{3003}\right)^{1/2} C_4 U^{(4)} C_i^4 - \left(\frac{2}{11}\right)\left(\frac{1}{7293}\right)^{1/2} C_6 U^{(6)} C_0^6 - \left(\frac{2}{11}\right)\left(\frac{10}{663}\right)^{1/2} C_6 U^{(6)} C_{i_0}^6 + \left(\frac{43}{22}\right)\left(\frac{1}{14586}\right)^{1/2} C_6 U^{(6)} C_{i_1}^6$$

$$H_{23} = \left(\frac{20}{11}\right)\left(\frac{2}{3003}\right)^{1/2} C_2 U^{(2)} C_i^2 - \left(\frac{4}{11}\right)\left(\frac{5}{429}\right)^{1/2} C_4 U^{(4)} C_0^4 - \left(\frac{107}{33}\right)\left(\frac{1}{3003}\right)^{1/2} C_4 U^{(4)} C_i^4 + \left(\frac{21}{22}\right)\left(\frac{15}{4862}\right)^{1/2} C_6 U^{(6)} C_0^6 + \left(\frac{9}{11}\right)\left(\frac{1}{663}\right)^{1/2} C_6 U^{(6)} C_{i_0}^6 - \left(\frac{4}{33}\right)\left(\frac{5}{7293}\right)^{1/2} C_6 U^{(6)} C_{i_1}^6$$

$$H_{24} = 4\left(\frac{1}{3003}\right)^{1/2} C_2 U^{(2)} C_i^2 + \left(\frac{37}{6}\right)\left(\frac{1}{6006}\right)^{1/2} C_4 U^{(4)} C_i^4 + 3\left(\frac{1}{1326}\right)^{1/2} C_6 U^{(6)} C_{i_0}^6 + \left(\frac{1}{3}\right)\left(\frac{5}{14586}\right)^{1/2} C_6 U^{(6)} C_{i_1}^6$$

$$H_{33} = \left(\frac{23}{22}\right)\left(\frac{7}{2145}\right)^{1/2} C_2 U^{(2)} C_i^2 + \left(\frac{49}{33}\right)\left(\frac{2}{429}\right)^{1/2} C_4 U^{(4)} C_0^4 - \left(\frac{2}{33}\right)\left(\frac{70}{429}\right)^{1/2} C_4 U^{(4)} C_i^4 + \left(\frac{4}{11}\right)\left(\frac{17}{429}\right)^{1/2} C_6 U^{(6)} C_0^6 + \left(\frac{2}{11}\right)\left(\frac{10}{663}\right)^{1/2} C_6 U^{(6)} C_{i_0}^6 + \left(\frac{47}{11}\right)\left(\frac{2}{7293}\right)^{1/2} C_6 U^{(6)} C_{i_1}^6$$

$$H_{34} = 4\left(\frac{1}{30030}\right)^{1/2} C_2 U^{(2)} C_1^2 - \left(\frac{2}{3}\right)\left(\frac{5}{3003}\right)^{1/2} C_4 U^{(4)} C_1^4 - \left(\frac{1}{4}\right)\left(\frac{5}{663}\right)^{1/2} C_6 U^{(6)} C_{i_0}^6 \\ + 2\left(\frac{1}{7293}\right)^{1/2} C_6 U^{(6)} C_{i_1}^6$$

$$H_{44} = \left(\frac{1}{6}\right)\left(\frac{11}{78}\right)^{1/2} C_4 U^{(4)} C_0^4 - \left(\frac{11}{663}\right)^{1/2} C_6 U^{(6)} C_0^6$$

$$H_{55} = \left(\frac{41}{22}\right)\left(\frac{5}{3003}\right)^{1/2} C_2 U^{(2)} C_1^2 - \left(\frac{47}{22}\right)\left(\frac{1}{858}\right)^{1/2} C_4 U^{(4)} C_0^4 + \left(\frac{9}{22}\right)\left(\frac{10}{3033}\right)^{1/2} C_4 U^{(4)} C_1^4 \\ - \left(\frac{2}{11}\right)\left(\frac{1}{7293}\right)^{1/2} C_6 U^{(6)} C_0^6 + \left(\frac{2}{11}\right)\left(\frac{10}{663}\right)^{1/2} C_6 U^{(6)} C_{i_0}^6 - \left(\frac{43}{22}\right)\left(\frac{1}{14586}\right)^{1/2} C_6 U^{(6)} C_{i_1}^6$$

$$H_{56} = -\left(\frac{20}{11}\right)\left(\frac{2}{3003}\right)^{1/2} C_2 U^{(2)} C_1^2 - \left(\frac{4}{11}\right)\left(\frac{5}{429}\right)^{1/2} C_4 U^{(4)} C_0^4 + \left(\frac{107}{33}\right)\left(\frac{1}{3003}\right)^{1/2} C_4 U^{(4)} C_1^4 \\ + \left(\frac{21}{22}\right)\left(\frac{15}{4862}\right)^{1/2} C_6 U^{(6)} C_0^6 - \left(\frac{9}{11}\right)\left(\frac{1}{663}\right)^{1/2} C_6 U^{(6)} C_{i_0}^6 + \left(\frac{4}{33}\right)\left(\frac{5}{7293}\right)^{1/2} C_6 U^{(6)} C_{i_1}^6 \\ + i[-4\left(\frac{1}{3003}\right)^{1/2} C_2 U^{(2)} C_1^2 + \left(\frac{1}{3}\right)\left(\frac{13}{462}\right)^{1/2} C_4 U^{(4)} C_1^4 + \left(\frac{3}{2}\right)\left(\frac{1}{1326}\right)^{1/2} C_6 U^{(6)} C_{i_0}^6 \\ - \left(\frac{10}{3}\right)\left(\frac{5}{14586}\right)^{1/2} C_6 U^{(6)} C_{i_1}^6]$$

$$H_{66} = -\left(\frac{23}{22}\right)\left(\frac{7}{2145}\right)^{1/2} C_2 U^{(2)} C_1^2 + \left(\frac{49}{33}\right)\left(\frac{2}{429}\right)^{1/2} C_4 U^{(4)} C_0^4 + \left(\frac{2}{33}\right)\left(\frac{70}{429}\right)^{1/2} C_4 U^{(4)} C_1^4 \\ + \left(\frac{4}{11}\right)\left(\frac{17}{429}\right)^{1/2} C_6 U^{(6)} C_0^6 - \left(\frac{2}{11}\right)\left(\frac{10}{663}\right)^{1/2} C_6 U^{(6)} C_{i_0}^6 - \left(\frac{47}{11}\right)\left(\frac{2}{7293}\right)^{1/2} C_6 U^{(6)} C_{i_1}^6$$

$$J = \frac{9}{2};$$

	$\left \frac{1}{2} \frac{1}{2}\right\rangle$	$\left \frac{3}{20} \frac{1}{2}\right\rangle$	$\left \frac{3}{21} \frac{1}{2}\right\rangle$	$\left \frac{3}{20} \frac{3}{2}\right\rangle$	$\left \frac{3}{21} \frac{3}{2}\right\rangle$	$\left \frac{3}{20} - \frac{3}{2}\right\rangle$	$\left \frac{3}{21} - \frac{3}{2}\right\rangle$
$\left\langle\frac{1}{2} \frac{1}{2}\right $	$H_{11}$	$H_{12}$	$H_{13}$				
$\left\langle\frac{3}{20} \frac{1}{2}\right $	$H_{12}$	$H_{22}$	$H_{23}$				
$\left\langle\frac{3}{21} \frac{1}{2}\right $	$H_{13}$	$H_{23}$	$H_{33}$				
$\left\langle\frac{3}{20} \frac{3}{2}\right $				$H_{44}$	$H_{45}$		
$\left\langle\frac{3}{21} \frac{3}{2}\right $				$H_{45}^*$	$H_{55}$		
$\left\langle\frac{3}{20} - \frac{3}{2}\right $						$H_{44}$	$H_{45}^*$
$\left\langle\frac{3}{21} - \frac{3}{2}\right $						$H_{45}$	$H_{44}$

$$H_{11} = \left(\frac{7}{3}\right)\left(\frac{7}{2145}\right)^{1/2} C_4 U^{(4)} C_0^4 + 8\left(\frac{1}{4290}\right)^{1/2} C_6 U^{(6)} C_0^6$$

$$H_{12} = -\left(\frac{1}{3}\right)\left(\frac{1}{11}\right)^{1/2} C_2 U^{(2)} C_1^2 + \left(\frac{19}{6}\right)\left(\frac{1}{715}\right)^{1/2} C_4 U^{(4)} C_1^4 - \left(\frac{1}{3}\right)\left(\frac{1}{65}\right)^{1/2} C_6 U^{(6)} C_{i_0}^6 \\ - \left(\frac{2}{5}\right)\left(\frac{1}{143}\right)^{1/2} C_6 U^{(6)} C_{i_1}^6$$

$$H_{13} = -\left(\frac{2}{3}\right)\left(\frac{7}{2145}\right)^{1/2} C_4 U^{(4)} C_1^4 + \left(\frac{3}{2}\right)\left(\frac{1}{1365}\right)^{1/2} C_6 U^{(6)} C_{i_0}^6 - \left(\frac{2}{5}\right)\left(\frac{13}{231}\right)^{1/2} C_6 U^{(6)} C_{i_1}^6$$

$$H_{22} = \left(\frac{9}{10}\right)\left(\frac{1}{165}\right)^{1/2} C_2 U^{(2)} C_1^2 - \left(\frac{1}{2}\right)\left(\frac{21}{715}\right)^{1/2} C_4 U^{(4)} C_0^4 + \left(\frac{3}{3}\right)\left(\frac{1}{429}\right)^{1/2} C_4 U^{(4)} C_1^4 \\ + \left(\frac{2}{5}\right)\left(\frac{6}{715}\right)^{1/2} C_6 U^{(6)} C_0^6 - \left(\frac{21}{5}\right)\left(\frac{1}{2145}\right)^{1/2} C_6 U^{(6)} C_{i_1}^6$$

$$H_{23} = -\left(\frac{2}{15}\right)\left(\frac{7}{55}\right)^{1/2} C_2 U^{(2)} C_1^2 + 2\left(\frac{1}{715}\right)^{1/2} C_4 U^{(4)} C_0^4 + \left(\frac{2}{15}\right)\left(\frac{7}{143}\right)^{1/2} C_4 U^{(4)} C_1^4 \\ - \left(\frac{3}{10}\right)\left(\frac{7}{1430}\right)^{1/2} C_6 U^{(6)} C_0^6 + \left(\frac{3}{6}\right)\left(\frac{1}{91}\right)^{1/2} C_6 U^{(6)} C_{i_0}^6 + \left(\frac{4}{5}\right)\left(\frac{1}{5005}\right)^{1/2} C_6 U^{(6)} C_{i_1}^6$$

$$H_{33} = -\left(\frac{3}{5}\right)\left(\frac{1}{165}\right)^{1/2} C_2 U^{(2)} C_1^2 + \left(\frac{1}{3}\right)\left(\frac{7}{2145}\right)^{1/2} C_4 U^{(4)} C_0^4 + \left(\frac{2}{15}\right)\left(\frac{13}{33}\right)^{1/2} C_4 U^{(4)} C_1^4$$

$$- \left(\frac{16}{5}\right)\left(\frac{2}{2145}\right)^{1/2} C_6 U^{(6)} C_0^6 + \left(\frac{7}{10}\right)\left(\frac{1}{2145}\right)^{1/2} C_6 U^{(6)} C_{\bar{1}}^6$$

$$H_{44} = -\left(\frac{9}{10}\right)\left(\frac{1}{165}\right)^{1/2} C_2 U^{(2)} C_{\bar{1}}^2 - \left(\frac{1}{2}\right)\left(\frac{21}{715}\right)^{1/2} C_4 U^{(4)} C_0^4 - \left(\frac{3}{5}\right)\left(\frac{1}{429}\right)^{1/2} C_4 U^{(4)} C_{\bar{1}}^4 \\ + \left(\frac{2}{5}\right)\left(\frac{6}{715}\right)^{1/2} C_6 U^{(6)} C_0^6 + \left(\frac{21}{5}\right)\left(\frac{1}{2145}\right)^{1/2} C_6 U^{(6)} C_{\bar{1}}^6$$

$$H_{45} = \frac{2}{5}\left(\frac{7}{495}\right)^{1/2} C_2 U^{(2)} C_{\bar{1}}^2 + 2\left(\frac{1}{715}\right)^{1/2} C_4 U^{(4)} C_0^4 - \left(\frac{2}{15}\right)\left(\frac{7}{143}\right)^{1/2} C_4 U^{(4)} C_{\bar{1}}^4 \\ - \left(\frac{3}{10}\right)\left(\frac{7}{1430}\right)^{1/2} C_6 U^{(6)} C_0^6 - \left(\frac{5}{6}\right)\left(\frac{1}{91}\right)^{1/2} C_6 U^{(6)} C_{\bar{1}0}^6 - \left(\frac{4}{5}\right)\left(\frac{1}{5005}\right)^{1/2} C_6 U^{(6)} C_{\bar{1}1}^6 \\ + i\left[\left(\frac{2}{3}\right)\left(\frac{7}{110}\right)^{1/2} C_2 U^{(2)} C_{\bar{1}}^2 + \left(\frac{1}{3}\right)\left(\frac{7}{286}\right)^{1/2} C_4 U^{(4)} C_{\bar{1}}^4 + \left(\frac{1}{3}\right)\left(\frac{1}{182}\right)^{1/2} C_6 U^{(6)} C_{\bar{1}0}^6 \\ + 2\left(\frac{1}{10010}\right)^{1/2} C_6 U^{(6)} C_{\bar{1}1}^6\right]$$

$$H_{55} = -\left(\frac{1}{5}\right)\left(\frac{3}{55}\right)^{1/2} C_2 U^{(2)} C_{\bar{1}}^2 + \left(\frac{1}{3}\right)\left(\frac{7}{2145}\right)^{1/2} C_4 U^{(4)} C_0^4 - \left(\frac{2}{15}\right)\left(\frac{13}{33}\right)^{1/2} C_4 U^{(4)} C_{\bar{1}}^4 \\ - \left(\frac{16}{5}\right)\left(\frac{2}{2145}\right)^{1/2} C_6 U^{(6)} C_0^6 - \left(\frac{7}{10}\right)\left(\frac{1}{2145}\right)^{1/2} C_6 U^{(6)} C_{\bar{1}}^6$$

where  $C_k = \langle l \| C_k \| l \rangle = (-1)^l (2l+1) \begin{pmatrix} l & k & l \\ 0 & 0 & 0 \end{pmatrix}$ , and  $U^{(k)} = \langle f^n SLJ \| U^{(k)} \| f^n SLJ \rangle$  ( $k = 2, 4, 6$ ) are reduced matrix elements with rank  $k$ . For the  $\text{Nd}^{3+}$  ion considered,  $l = 3$  and  $C_k$  are calculated to be

$$C_2 = -2\left(\frac{7}{15}\right)^{1/2}$$

$$C_4 = \left(\frac{14}{11}\right)^{1/2}$$

$$C_6 = -10\left(\frac{7}{429}\right)^{1/2}.$$

## References

- [1] Bethe H 1929 *Ann. Phys., Lpz.* **3** 133
- [2] Griffith J S 1962 *The Theory of Transition-Metal Ions* (Cambridge: Cambridge University Press)
- [3] Abragam A and Bleaney B 1970 *Electron Paramagnetic Resonance of Transition Ions* (Oxford: Oxford University Press)
- [4] Kaminskii A A 1981 *Laser Crystal—Their Physics and Properties* (Berlin: Springer)
- [5] Morrison C A and Leavitt R P 1982 *Handbook on the Physics and Chemistry of Rare Earths* vol 5, ed K A Gschneidner Jr and L Eyring (Amsterdam: North-Holland) p 461
- [6] Henderson B and Imbush C F 1989 *Optical Spectroscopy of Inorganic Solids* (Oxford: Clarendon)
- [7] O'Hare J M and Donlan V L 1977 *Phys. Rev. B* **15** 10
- [8] Chang N C, Gruber J B, Leavitt R P and Morrison C A 1982 *J. Chem. Phys.* **76** 3877
- [9] Faucher M and Garcia D 1982 *Phys. Rev. B* **26** 5451
- [10] Soderholm L, Long C K, Goodman G L and Darowski B D 1991 *Phys. Rev. B* **43** 7623
- [11] Newman D J 1971 *Adv. Phys.* **20** 197
- [12] Morrison C A 1976 *Solid State Commun.* **18** 153
- [13] Judd B R 1980 *J. Phys. C: Solid State Phys.* **13** 2695
- [14] Garcia D and Faucher M 1984 *Phys. Rev. B* **30** 1703
- [15] Ng B and Newman D J 1987 *J. Chem. Phys.* **87** 7096
- [16] Li C C and Reid M F 1990 *Phys. Rev. B* **42** 1903
- [17] Zoemierek Z 1984 *J. Phys. Chem. Solids* **45** 523
- [18] Malkin B Z, Kaminskii A A, Agamalyan N R, Bumagina T L A and Butaeva T I 1982 *Phys. Status Solidi* **a 110** 417



- [19] Newman D J 1989 *J. Phys.: Condens. Matter* **1** 1613
- [20] Newman D J and Ng B 1989 *Rep. Prog. Phys.* **52** 699
- [21] Leavitt R P 1982 *J. Chem. Phys.* **77** 1661
- [22] Yeung Y Y and Newman D J 1985 *J. Chem. Phys.* **82** 3747
- [23] Butler P H 1981 *Point Group Symmetry Application: Method and Tables* (New York: Plenum)
- [24] Ellis M M and Newman D J 1967 *J. Chem. Phys.* **47** 1986
- [25] Ellis M M and Newman D J 1968 *J. Chem. Phys.* **49** 4037
- [26] Hutchison C A and Wong E 1958 *J. Chem. Phys.* **29** 754
- [27] Mroczkowski J A and Randic M 1977 *J. Chem. Phys.* **66** 5046
- [28] Faucher M and Caro P 1975 *J. Chem. Phys.* **63** 446
- [29] Luo Zundu, Jiang Aidong, Huang Yichuan and Qiu Minwang 1991 *Sci. China* **34** 762
- [30] Luo Zundu, Jiang Aidong, Huang Yichuan and Qiu Minwang 1989 *Chinese Phys. Lett.* **6** 440
- [31] Hong H Y-P and Dwight K 1974 *Mater. Res. Bull.* **9** 1661
- [32] Qi Xiaoding 1990 private communication
- [33] Winzer G, Mockel P G and Kruhler W W 1978 *IEEE J. Quantum Electron.* **QE-14** 840
- [34] Sho Amano 1991 Private communication
- [35] Hufner S 1978 *Optical Spectra of Transparent Rare Earth Compounds* (New York: Academic)
- [36] Huang Yidong and Luo Zundu 1991 *Phys. Status Solidi b* **167** K117
- [37] Huang Yidong and Luo Zundu 1991 *Acta Optica Sinica* **11** 453 (in Chinese)
- [38] Judd B R 1977 *Phys. Rev. Lett.* **39** 242